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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE llection of information unless it displays a valid OMB control number. he Paperwork Reduction Act of 1995, no persons are required to respond to a col **Application Number** 10/749.149 TRANSMITTAL Filing Date December 30, 2003 First Named Inventor **FORM** Michael Chiviendacz Art Unit 2613 **Examiner Name** na (to be used for all correspondence after initial filing) Attorney Docket Number 10500.03.0717 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): -IDS Cover Sheet Request for Refund Express Abandonment Request -Copy of reference (1) -return postcard CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Vedder, Price, Kaufman & Kammholz, P.C. Signature Printed name Christopher J. Reckamp Date Reg. No. 34.414 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Christine A. Wright Typed or printed name 12-5-05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT APPLICATION

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Michael Chiviendacz et al.

Examiner: na

Serial No.:

10/749,149

Art Unit: 2613

Filing Date:

December 30, 2003

Docket No.: 10500.03.0717

Title: METHOD AND APPARATUS FOR SECURELY PROVIDING IDENTIFICATION INFORMATION USING TRANSLUCENT IDENTIFICATION MEMBER WITH FILTER

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12-505

Date

Christine A. Wright

INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §§ 1.97(C) AND 1.98

Pursuant to 37 CFR §§ 1.97(c) and 1.98, Applicants respectfully submit the following statement consisting of:

- 1. A list of documents; and
- 2. General remarks.

A copy of the listed documents is enclosed herewith along with Forms PTO/SB/08A and PTO/SB/08B.

1. <u>Documents</u>

- a. U.S. Patent No. 4,184,148; issued January 15, 1980 to Smagala-Romanoff;
 - b. U.S. Patent No. 5,251,259; issued October 5, 1993 to Mosley; and
- c. Canadian Intellectual Property Office International Search Report for International Application No. PCT/CA2005/000067 dated July 18, 2005.

<u>REMARKS</u>

No item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) before the issuance of a first office action and the information received was in connection with a foreign counterpart application. As such, there is no fee due for the filing of such information disclosure statement. If the Patent Office determines there is a fee due, the Patent Office is hereby authorized to charge any fees to Deposit Account No. 22-0259.

The submission of the above documents is not an admission that the information is prior art, analogous or otherwise material. It is respectfully requested that the above-listed documents be considered and made of record in the present application.

Respectfully submitted,

Date: 12-508

Christopher J. Reckamt

Registration No. 34,414

Vedder, Price, Kaufman & Kammholz, P.C.

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form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/749,149			
Filing Date	December 30, 2003	· · · · · ·		
First Named Inventor	Michael Chiviendacz			
Art Unit	2613			
Examiner Name	na			
Attorney Docket Number	10500 03 0717			

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 4,184,148	01-15-1980	Smagala-Romanoff	
		^{US-} 5,251,259	10-05-1993	Mosley	
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials* Cite No.1 Foreign Patent Document Country Code3 Number 1 Kind Code5 (if known)	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
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Examiner	Date	
Signature	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute for load	Complete if Known		
Constitution for the second se	Application Number	10/749,149	
INFORMATION DISCLOSURE	Filing Date	December 30, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Michael Chiviendacz	
(Use as many sheets as necessary)	Art Unit	2613	
(USE as illally slivets as lieuessally)	Examiner Name	na	
Sheet 2 of 2	Attorney Docket Number	10500.03.0717	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Canadian Intellectual Property Office International Search Report for International Application No. PCT/CA2005/000067 dated July 18, 2005.	
		-	
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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